# Electromagnetic gyrokinetic simulation of turbulence in torus plasmas

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#### Outline

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#### INTRODUCTION FINITE BETA PLASMAS (MOTIVATION)

#### Motivation of EMGK analysis

- Electromagnetic gyrokinetic simulation enables us to study turbulent transport in finite beta torus plasmas.
- Beta dependence of anomalous transport.
  - Fusion reaction rate
  - Fraction of bootstrap current

$$\beta = \frac{4\pi P}{B^2 / 2} \qquad \beta_i = \frac{4\pi n_0 T_i}{B^2 / 2}$$

#### A typical EM instability

- Ballooning modes
  - related to the edge localized mode.
- Kinetic ballooning modes

A. Hirose, Phys. Rev. Lett., 3993 (1994)



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Ohdachi, Contrib. Plasma Phys. 2010

### Zonal structure formation affects saturation of instabilities



Mode structures of ITG and KBM are similar. Both of them have ballooning structure in the linear growth.



P. Zhu

Electromagnetic gyrokinetic equations Numerical difficulty in EMGK

#### ELECTROMAGNETIC GYROKINETIC EQUATIONS

Assumptions in GK  
$$\left[\frac{\partial}{\partial t} + \mathbf{v} \cdot \nabla + \frac{q_s}{m_s} \left(\mathbf{E} + \frac{1}{c}\mathbf{v} \times \mathbf{B}\right)\right] F_s = C_s(F_s)$$

- Spatial structure: Flute approximation
- Time scale: Drift-ordering
- Amplitude: Small

$$\frac{\delta f_s}{F_{Ms}} \approx \frac{k_{//}}{k_{\perp}} \approx \frac{\omega}{\Omega_s} \approx \frac{e \,\delta \phi}{T_s} \approx \frac{\delta A_{//}}{B_0 \rho_s} \approx \varepsilon$$

#### Spatial structure: Flute approximation

$$\mathbf{b} \cdot 
abla f / 
abla_\perp f pprox arepsilon \ll 1$$
 $k_{\prime\prime} / k_\perp pprox arepsilon \ll 1$ 

$$\nabla_{\perp} = \nabla - \mathbf{b} \cdot \nabla$$
$$\mathbf{b} = \mathbf{B}/\mathbf{B}$$



#### Time scale: Drift ordering

- MHD ordering  $v_{\mathrm{E}} \simeq v_{\mathrm{Ti}}$ 
  - Perturbed electric field is so strong that ExB flow velocity is comparable with the ion thermal velocity.
- Drift ordering  $v_{\mathrm{E}}\simeq arepsilon v_{\mathrm{Ti}}$ 
  - Perturbed electric field is weak and ExB flow velocity is much smaller than the ion thermal velocity

#### Variables in GK equation

$$f_{s} = F_{Ms} + \delta f_{s}$$

$$F_{Ms} = \frac{n_{0}}{\left(2\pi T_{s} / m_{s}\right)^{3/2}} \exp\left(-\frac{m_{s} v_{l/}^{2}}{2T_{s}} - \frac{\mu B}{T_{s}}\right)$$

$$\delta f_{s}(\mathbf{X}, v_{l/}, \mu) = \sum_{k} \delta f_{sk}(k_{x}, k_{y}, z, v_{l/}, \mu) \exp(iS_{k})$$

$$\nabla S_k = \mathbf{k}_{\perp} = (k_x, k_y) \qquad \mathbf{v} = v_{//} \mathbf{b} + \mathbf{v}_{\perp}$$

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$$\begin{split} & \mathsf{EM} \ \mathsf{delta-f} \ \mathsf{gyrokinetic} \ \mathsf{equations} \\ & \frac{D\delta f_{sk}}{Dt} + v_{Ts} v_{ll} \mathbf{b}^* \cdot \nabla \delta f_{sk} - v_{Ts} \mu \mathbf{b} \cdot \nabla B \frac{\partial \delta f_{sk}}{\partial v_{ll}} = -i \mathbf{v}_{ds} \cdot \mathbf{k}_{\perp} (\delta f_{sk} + \frac{q_s F_{sM}}{T_s} \phi_k J_{0s}) \\ & + i \mathbf{v}_{*s} \cdot \mathbf{k}_{\perp} \frac{q_s F_{sM}}{T_s} (\phi_k - v_{Ts} v_{ll} A_{llk}) J_{0s} + v_{Ts} v_{ll} \frac{q_s F_{sM}}{T_s} E_{llk} + C(\delta f_{sk}) \\ & \lambda_{Di}^2 k_{\perp}^2 \phi_k = \sum_s \left( q_s \delta \hat{n}_{sk} - \frac{q_s^2}{T_s} [1 - \Gamma_{0s}] \phi_k \right) \\ & \delta \hat{n}_{sk} = \int dv^3 \delta f_{sk} J_{0s} \\ & \delta \hat{u}_{sk} = \int dv^3 \delta f_{sk} J_{0s} \\ & \delta \hat{u}_{sk} = \int dv^3 v_{ll} \delta f_{sk} J_{0s} \\ & \mathsf{Nonlinear terms} \\ & \frac{D}{Dt} = \frac{\partial}{\partial t} + \frac{1}{B} [\phi J_{0s}, ]_k \\ & \mathsf{b}^* \cdot \nabla = \mathsf{b} \cdot \nabla - \frac{1}{B} [A_{ll} J_{0s}, ]_k \\ & \mathsf{torestive} \right] \end{split}$$

Difficulties in electromagnetic gyrokinetic simulations

- Cancellation problem
- Kinetic and adiabatic electrons
- Waves with high frequency

#### **Cancellation problem**

Time derivative of A\_// in E\_// causes a problem

$$\frac{\partial}{\partial t} \left( \delta f_{sk} + v_{//} \frac{q_s F_{sM}}{c T_s} J_{0s} A_{//k} \right) = \frac{\partial \delta f_{sk}^{(h)}}{\partial t} = \cdots$$

$$(k_{\perp}^2 + \frac{4\pi}{c^2} n_0 \sum_{s} q_s^2 \Gamma_{0s}) A_{//k} = \frac{4\pi}{c} \sum_{s} q_s \int dv^3 v_{//} \delta f_{sk}^{(h)} J_{0s}$$

$$\frac{\partial \delta f_{sk}}{\partial t} = \cdots$$

• How to resolve the problem

- Numerically integrate F\_M in the Ampere's law

$$k_{\perp}^{2}A_{//k} = \frac{4\pi}{c} \sum_{s} q_{s} \int dv^{3}v_{//} \delta f_{sk}^{(h)} J_{0s} - \frac{4\pi}{c} \sum_{s} q_{s} \int dv^{3}v_{//}^{2} \frac{q_{s}F_{sM}}{cT_{s}} J_{0s}^{2} A_{//k}$$

#### Kinetic and adiabatic electrons

- Difficulties in solving gyrokinetic equation for electrons because of small electron mass
  - Numerical oscillation occurs between passing and trapped regions.
  - Elongated mode structure along the field line
- Adiabatic electron approximation
  - Beta is set to be zero, so that magnetic perturbation vanishes.
  - $-V_te \rightarrow infinity$
  - Computational cost is significantly reduced, because we don't need to solve electron GK eq.

#### Waves in homogeneous plasmas

Neglecting  
drift terms 
$$\frac{\partial \delta f_{sk}}{\partial t} + v_{l/} \mathbf{b} \cdot \nabla \delta f_{sk} = v_{l/} \frac{q_s F_{sM}}{T_s} J_{0s} E_{l/} \qquad -k_{\perp}^2 \phi_k = 4\pi \sum_s q_s \delta n_{sk}$$
  
Dispersion relation 
$$1 - \Gamma_{0i} \approx \rho_{Ti}^2 k_{\perp}^2 \qquad k_{\perp}^2 A_{l/k} = \frac{4\pi}{c} \sum_s q_s \delta u_{sk}$$
$$-\rho_i^2 k_{\perp}^2 = \left( \left( \frac{\omega}{v_A k_{l/}} \right)^2 - 1 \right) \left[ \sum_s \frac{T_e}{T_s} (1 + \zeta_s Z(\zeta_s)) \right] \qquad \zeta_s = \frac{\omega}{v_{Ts} k_{l/} \sqrt{2}}$$

- Kinetic Alfven wave  $k_{//}v_{Ti} \ll \omega \ll k_{//}v_{Te}$ 
  - k\_perp term reduces magnetic field line bending stabilization, so that KBM is  $\omega^2 = (1 \rho_i^2 k_\perp^2) (v_A k_{//})^2$  destablilized.
- High frequency mode  $k_{//}v_{Te} \ll \omega \ll k_{//}v_A$   $(\beta_e \ll m_e/m_i)$ 
  - This mode restrict time step size of simulation in low beta.

$$\omega^2 = \left(\frac{k_{\prime\prime}}{k_{\perp}}\Omega_i\right)^2 \frac{m_i}{m_e} \qquad 16$$

Instabilities

Parity

#### LINEAR ANALYSIS



#### Ion temperature gradient (ITG) $\phi_{i}$ mode at $\beta_{i} = 0.2\%$



#### Instabilities which drive microturbulence

- Drift wave instability
  - Ion temperature gradient (ITG) mode
  - Trapped electron mode (TEM)
- Electromagnetic instability
  - Kinetic ballooning mode (KBM)
  - Micro-tearing mode (MTM)

#### Linear analysis



#### Parity

• The linearized equation is invariant for

$$z \to -z, \ v_{//} \to -v_{//}, \ \theta_k \to -\theta_k \qquad \theta_k = -\frac{k_x}{k_y}$$
$$\frac{\partial \delta f_{sk}}{\partial t} + v_{Ts} v_{//} \mathbf{b} \cdot \nabla \delta f_{sk} - v_{Ts} \mu \mathbf{b} \cdot \nabla B \frac{\partial \delta f_{sk}}{\partial v_{//}} = -i \mathbf{v}_{ds} \cdot \mathbf{k}_{\perp} (\delta f_{sk} + \frac{q_s F_{sM}}{T_s} \phi_k J_{0s})$$

$$+i\mathbf{v}_{*_{s}}\cdot\mathbf{k}_{\perp}\frac{q_{s}F_{sM}}{T_{s}}(\phi_{k}-v_{Ts}v_{l}A_{l/k})J_{0s}+v_{Ts}v_{l}\frac{q_{s}F_{sM}}{T_{s}}E_{l/k}+C(\delta f_{sk})$$

Ballooning parity

$$\delta f_{sk}(-z,-v_{//},-\theta_k) = \delta f_{sk}(z,v_{//},\theta_k)$$

• Tearing parity

 $\delta f_{sk}(-z,-v_{\prime\prime},-\theta_k) = -\delta f_{sk}(z,v_{\prime\prime},\theta_k)$ 

$$\phi_k(-z,-\theta_k) = \phi_k(-z,-\theta_k)$$
$$A_{//k}(-z,-\theta_k) = -A_{//k}(-z,-\theta_k)$$

$$\phi_k(-z,-\theta_k) = -\phi_k(-z,-\theta_k)$$
$$A_{//k}(-z,-\theta_k) = A_{//k}(-z,-\theta_k)$$

#### Parity

• Ballooning parity

$$\delta f_{sk}(-z,-v_{//},-\theta_k) = \delta f_{sk}(z,v_{//},\theta_k)$$

$$\phi_k(-z,-\theta_k) = \phi_k(-z,-\theta_k)$$
$$A_{//k}(-z,-\theta_k) = -A_{//k}(-z,-\theta_k)$$





#### Trapped electron modes

• TEM can have elongated mode structure along the magnetic field line z.



#### ANALYSIS OF NONLINEAR SIMULATION RESULTS

Conservation of quadratic quantity (Entropy balance equation)

Parity symmetry

#### Parity exchange

• Nonlinear mixture of parities come from the Poisson bracket nonlinear term.

Ballooning parity  $\delta f_{sk}(-z,-v_{//},-\theta_k) = \delta f_{sk}(z,v_{//},\theta_k)$ Tearing parity  $\delta f_{sk}(-z,-v_{//},-\theta_k) = -\delta f_{sk}(z,v_{//},\theta_k)$ 

$$\frac{\partial \delta f_{sk}}{\partial t} + \frac{1}{B} \left[ (\phi - v_{//}A_{//})J_{0s}, \delta f_s + \frac{q_s F_{sM}}{T_s} \phi \right]_k + v_{Ts} v_{//} \mathbf{b} \cdot \nabla \delta f_{sk}$$

$$= -i \mathbf{v}_{ds} \cdot \mathbf{k}_{\perp} (\delta f_{sk} + \frac{q_s F_{sM}}{T_s} \phi_k J_{0s}) + v_{Ts} \mu \mathbf{b} \cdot \nabla B \frac{\partial \delta f_{sk}}{\partial v_{//}}$$

$$+ i \mathbf{v}_{*s} \cdot \mathbf{k}_{\perp} \frac{q_s F_{sM}}{T_s} (\phi_k - v_{Ts} v_{//} A_{//k}) J_{0s} + v_{Ts} v_{//} \frac{q_s F_{sM}}{T_s} E_{//k} + C(\delta f_{sk})$$

Entropy balance equation  

$$\frac{d}{dt} \left( \sum_{s} \delta S_{s} + \delta W_{es} + \delta W_{em} \right) = \sum_{s} \left( \frac{\Theta_{s}}{L_{Ts}} + \frac{T_{s} \Gamma_{s}}{L_{ps}} + D_{s} \right)$$

$$\sum_{s} \left( \frac{\nabla_{s} \Gamma_{s}}{2} | \delta f_{sk} |^{2} d^{3} \right) = \sum_{s} \left( \frac{\nabla_{s} \Gamma_{s}}{2} + \frac{\nabla_{s} \Gamma_{s}}{2} + D_{s} \right)$$

$$\delta S_{s} = \left\langle \sum_{k} \int \frac{T_{s} |\partial f_{sk}|^{2}}{2F_{Ms}} d^{3}v \right\rangle$$

 $\delta W_{em} = \left\langle \sum_{s} \frac{k_{\perp}^{2} |\delta A_{//k}|^{2}}{2\beta_{i}} \right\rangle$ 

Heat flux  $\Theta_s = \Theta_{es,s} + \Theta_{em,s}$ Particle flux  $\Gamma_s = \Gamma_{es,s} + \Gamma_{em,s}$ 

$$\delta W_{es} = \left\langle \sum_{k} \left( \lambda_{Di}^2 k_{\perp}^2 + \sum_{s} \frac{q_s^2}{T_s} (1 - \Gamma_{0s}) \right) \frac{|\delta \phi_k|^2}{2} \right\rangle$$

$$\Theta_{es,s} = \operatorname{Re}\left\langle\sum_{k}\left(\frac{\delta p_{//s}}{2} + \delta p_{\perp s} - \frac{5}{2}T_{s}\delta n_{s}\right)\frac{ik_{y}\delta\phi_{k}^{*}}{B}\right\rangle \qquad \Theta_{em,s} = \operatorname{Re}\left\langle\sum_{k}\left(\frac{\delta q_{//s}}{2} + \delta q_{\perp s}\right)\frac{-ik_{y}\delta A_{//k}^{*}}{B}\right\rangle\right\rangle$$

$$\Gamma_{es,s} = \operatorname{Re}\left\langle\sum_{k}\delta n_{s}\frac{ik_{y}\delta\phi_{k}^{*}}{B}\right\rangle \qquad \Gamma_{em,s} = \operatorname{Re}\left\langle\sum_{k}\delta u_{s}\frac{ik_{y}\delta A_{//k}^{*}}{B}\right\rangle$$

$$D_{s} = v_{ss}\left\langle\sum_{k}\int(\delta f_{sk} + \frac{q_{s}F_{sM}}{T_{s}}\phi_{k}J_{0s})^{*}C(\delta f_{sk})d^{3}v\right\rangle \qquad \text{Sugama, Phys. Plasmas 2009}$$

#### Entropy balance equation

$$\left|\frac{d}{dt}\left(\sum_{s}\delta S_{s}+\delta W_{es}+\delta W_{em}\right)\right|=\sum_{s}\left(\frac{\Theta_{s}}{L_{Ts}}+\frac{T_{s}\Gamma_{s}}{L_{ps}}+D_{s}\right)$$



#### Entropy transfer

• We can study the saturation mechanism of turbulence based on the conservation of quadratic quantities (entropy balance).

$$\frac{d}{dt}\left(\sum_{s}\delta S_{s,k} + W_{es,k} + W_{em,k}\right) = \sum_{s}\left(T_{s,k} + \frac{\Theta_{s,k}}{L_{Ts}} + \frac{T_s\Gamma_{s,k}}{L_{ps}} + D_{s,k}\right)$$

$$T_{s,k} = \sum_{k',k''} T_s(\mathbf{k};\mathbf{k}',\mathbf{k}'')$$

Entropy transfer function

$$T(\mathbf{k};\mathbf{k}',\mathbf{k}'') = \left\langle \int dv^3 \frac{T_s h_{sk}^*}{2F_{Ms}} \delta_{k,k'+k''} \mathbf{b} \cdot \mathbf{k}' \times \mathbf{k}'' (\chi_{sk'} h_{sk''} - h_{sk'} \chi_{sk''}) \right\rangle$$
$$h_{sk} = f_{sk} + \frac{q_s}{T_s} \phi_k J_{0s} F_{Ms} \qquad \chi_{sk} = (\phi_k - v_{Ts} v_{ll} A_{llk}) J_{0s}$$

 $T(\mathbf{k};\mathbf{k}',\mathbf{k}'')+T(\mathbf{k}';\mathbf{k}'',\mathbf{k})+T(\mathbf{k}'';\mathbf{k},\mathbf{k}')~=~0$ 

Beta dependence of turbulent transport Saturation of turbulence in finite-beta plasmas

#### **NONLINEAR SIMULATIONS**

### Beta dependence of turbulent transport



• Transport decreases faster than the linear growth rate.

M. J. Pueschel, PoP (2008)

#### Beta dependence of turbulence





#### Dimits shift in EM

#### • Electromagnetic TEM/ITG turbulence



Transport by magnetic perturbation

 Parity exchange causes stochastic magnetic field

$$\int \widetilde{B}_{x} dz = \int ik_{y} A_{//k} dz \neq 0$$





#### Transport by magnetic perturbation

ITG produces stable tearing Micro-tearing mode (MTM) parity modes and causes The Rechester-Rosenbluth stochastic magnetic field. model is in good agreement at high amplitude, while the Magnetic flutter model breaks down at small  $\mathbf{b}^* \cdot \nabla = \mathbf{b} \cdot \nabla - \frac{1}{\mathbf{r}} [A_{//} J_{0s},$ amplitude.  $]_k$  $\chi^{e}_{em} = 1.37 v_{te} q R (\tilde{B}_x/B_0)^2$ 3.50.5 $Q_e^{\rm em} \propto \beta^2$  $R/L_{Te}$  variation  $\diamond (|Q_e^{em}|/Q_i^{es})_{lin}$  $\beta_e$  variation 3 0.4  $\left( e^{\text{em}} / \left( \rho_i^2 v_{ti} / R \right) \right)$  $\triangle (Q_e^{em}/Q_i^{es})_{nonlin}$ 2.50.3 2 1.50.2 1 0.1 0.50 0.0  $\mathbf{2}$ 3 56 4  $\overline{7}$ 0 0.2 0.8 0.0 0.4 0.6 $(\tilde{B}_x/B_0)/(\rho_e/R)$ B / % H. Doerk, et.al, Phys. Rev. Lett. (2011). Pueschel, Phys. Plasmas, (2008) 36 D. Hatch, PRL, (2012)

Zonal flows are weak in finite-beta plasmas

#### SATURATION PROBLEM IN EMGK

#### Saturation problem in finite beta plasmas

Failure of the transport levels to saturate at finite beta in gyrokinetic simulations in flux tube geometry

Cyclone base case (tokamak)







# Possible mechanisms of the transition

 Nonlinear subcritical instability due to the increase of local pressure gradient.



Waltz, Phys. Plasmas (2010)

A saturated state of turbulence at high beta by adopting small electron temperature gradient Entropy transfer analysis

#### TURBULENCE AT HIGH-BETA WITH SMALL ELECTRON TEMPERATURE GRADIENT

#### Small electron temperature gradient



#### Entropy transfer

 We can study the saturation mechanism of turbulence based on the conservation of quadratic quantities (entropy balance).

$$\frac{d}{dt}\left(\sum_{s}\delta S_{s,k} + W_{es,k} + W_{em,k}\right) = \sum_{s}\left(T_{s,k} + \frac{\Theta_{s,k}}{L_{Ts}} + \frac{T_s\Gamma_{s,k}}{L_{ps}} + D_{s,k}\right)$$

$$T_{s,k} = \sum_{k',k''} T_s(\mathbf{k};\mathbf{k}',\mathbf{k}'')$$

Entropy transfer function

$$T(\mathbf{k};\mathbf{k}',\mathbf{k}'') = \left\langle \int dv^3 \frac{T_s h_{sk}^*}{2F_{Ms}} \delta_{k,k'+k''} \mathbf{b} \cdot \mathbf{k}' \times \mathbf{k}'' (\chi_{sk'} h_{sk''} - h_{sk'} \chi_{sk''}) \right\rangle$$
$$h_{sk} = f_{sk} + \frac{q_s}{T_s} \phi_k J_{0s} F_{Ms} \qquad \chi_{sk} = (\phi_k - v_{Ts} v_{ll} A_{llk}) J_{0s}$$

 $T(\mathbf{k};\mathbf{k}',\mathbf{k}'') + T(\mathbf{k}';\mathbf{k}'',\mathbf{k}) + T(\mathbf{k}'';\mathbf{k},\mathbf{k}') = 0$ 

#### Saturation mechanism of KBM turbulence



#### Saturation of KBM in a tokamak



S. Maeyama, et.al., Phys. Plasmas, (2014)

- Twisted modes appear along the field line and cause saturation of the KBM.
- Mode structure along the magnetic field line play an important role in the saturation.

# Saturation of KBM turbulence in helical plasmas





 The peak of the amplitude of electrostatic potential appears at finite z.





$$\theta_k = -k_x / (k_y \hat{s})$$



#### Saturation mechanism of KBM

- Turbulence is saturated by nonlinear interactions of oppositely inclined convection cells through mutual shearing.
  - The entropy of KBM with (m,k)=(4,2) is reduced by nonlinear interactions with (m',k')=(-4,2) and (m'',k'')=(8,0) modes.





A. Ishizawa, et.al., Phys. Plasmas (2014)

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#### Saturation mechanism I

- Diagram of nonlinear entropy transfer in the Fourier space
- Saturation of the KBM turbulence is caused by nonlinear interactions between dominant unstable modes with finite radial wavenumbers



#### Saturation mechanism II

- The dominant KBM causes the transfer in the inclined direction and subsequently transforms the entropy from the other dominant KBM to higher Fourier modes, which are linearly stable.
- Hence, the growth of KBM is saturated by the nonlinear interactions of oppositely inclined convection cells through mutual shearing.



### KBM turbulence is not efficient in the transport compared with ITG (Helical)



• Zonal flow of KBM turbulence is much weaker than that of ITG turbulence.

A. Ishizawa, et.al., Phys. Plasmas (2014)

#### Summary

- Electromagnetic gyrokinetic simulation enables us to study turbulent transport in finite beta torus plasmas.
- Conserved quantities
  - Quadratic conserved quantity (Entropy variable)
    - Entropy transfer in the Fourier space
  - Parity symmetry along the field line (Linear)
    - Ballooning parity: ITG, TEM, ETG, KBM
    - Tearing parity: MTM
- Nonlinear simulations
  - Beta dependence of turbulent transport
  - Saturation problem of turbulence at finite-beta
  - Turbulence at high-beta with small electron temperature gradient